

# Invitation



**FINANCE  
LATVIA  
ASSOCIATION**

## Welcome to participate in the workshop “POLICIES AND TOOLS FOR EMBEDDING CULTURE OF COMPLIANCE”

**August 23, 9:00 am - 12:30 pm**

Venue - Riga Business School, Alumni Auditorium, Skolas street 11, Riga

Finance Latvia Association in cooperation with UK Finance invites you to register for the workshop “Policies and Tools for Embedding Culture of Compliance”. **It will be led by Dr. Roger Miles** who counsels the Boards of public- and private-sector organisations and teaches behavioural risk at postgraduate level. In commercial practice, **he researches and develops tools to improve reporting and prediction of behavioural risk in financial markets.** Roger Miles leads UK Finance’s Academy for behavioural risk. He is a visiting lecturer at Cambridge Judge Business School (Centre for Compliance and Trust) and UK Defence Academy.



**Dr. Roger Miles**

PhD, FRSA. Doctor of Risk;  
Faculty Lead, Conduct and  
Culture Academy,  
UK Finance; etc

Finance Latvia Association invites executives and managers from financial sector institutions whose responsibilities include **design, implementation and oversight and analysis of institutional conduct programmes/behavioural risks**, as well our partners from public sector.

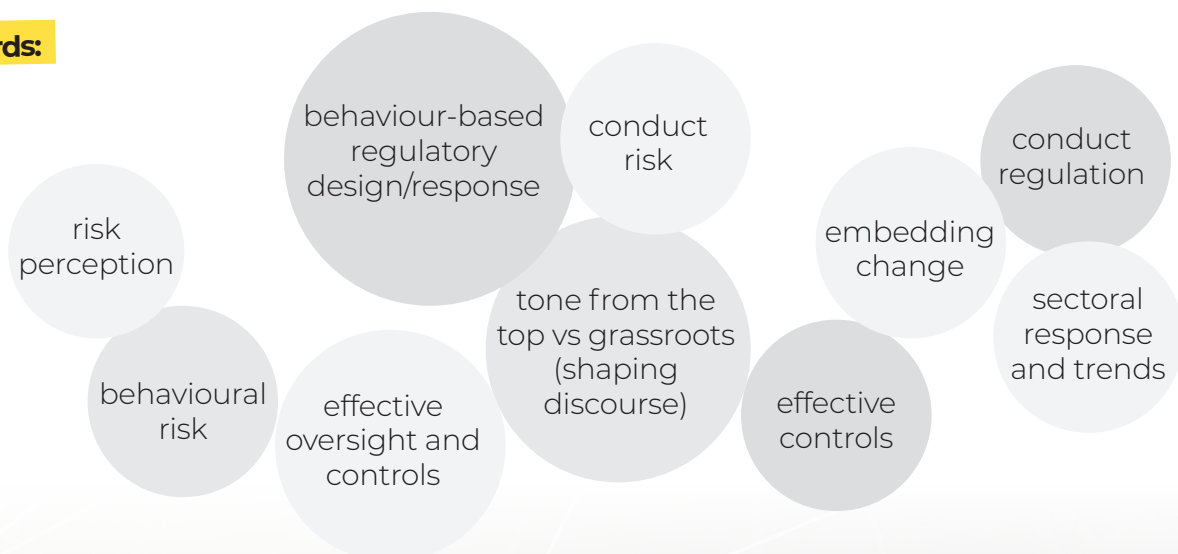
### Topic:

Understanding human behaviour is integral to design of effective controls. Financial sector institutions seek new tools and solutions to ascertain and embrace conduct risks, tailor and embed behaviour-savvy policies to control risks.

### Goal:

To inform and assist financial sector institutions aspiring to design policies and shape practices to mitigate their regulatory and conduct risks. Support embedding sector - wide best practice standards.

### Keywords:



### Registration

This event is open for registered participants only. Please register and provide billing details by August 9, 2019 at <https://ej.uz/workshop23082019>

### Participation fee

**EUR 120** (for members of Finance Latvia Association and governmental institutions)  
**EUR 240** for other participants.

### Contact person:

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